IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2901

In re application of

Roger KENDALL et al.

Serial No.: 09/316,001

Filed: May 21, 1999

Group Art Unit: 1644

Examiner: G. Ewoldt

For: METHODS AND COMPOSITIONS FOR MODULATING IMMUNE RESPONSE

AND FOR THE TREATMENT OF INFLAMMATORY DISEASE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R.§ 1.97(d)

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

In accordance with the requirements of 37 C.F.R. §1.97(e), it is hereby certified that the subject disclosures were cited in a communication from a foreign patent office in a counterpart foreign application less than three months prior to the filing of this statement. Attached is a copy of the foreign patent office communication verifying the date of its mailing within the past three months, i.e., on 19 December 2000.

This Information Disclosure Statement is being submitted after receipt of a Final Office action, but before payment of the issue fee. Accordingly, a petition and statutory fee of \$240.00 for submission of this Information Disclosure Statement is attached. Authorization is hereby given to charge any deficiency in the fee or credit and overpayment to counsel's Deposit Account No. 13-3402.

Applicants wish to bring to the examiner's attention the references listed on the accompanying PTO-1449, which were cited in the attached International Search Report. Copies of the references are provided herewith. U.S 5,026,728 was previously submitted in an

Information Disclosure Statement filed 4 January 2000, and is not included on the attached Form

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JAN 16 2001

Respectfully submitted,

TECH CENTER 1600/2900

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